Approved Advanced Diplomas of Financial Planning and
Professional Designations

As of 25 July 2023

See the Treasury’s Financial Adviser Standards website at https://fas.treasury.gov.au/ for more information.

This document summarises the list of approved Advanced Diplomas of Financial Planning and Professional Designations are defined in Section 5 -‘Definitions’ of the *Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2021*.

These qualifications can reduce the additional study required for existing advisers, including during the foreign qualification assessment process.

# Approved ADFPs

#### No specified professional body

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| (1) | A diploma awarded by an NVR registered training organisation within the meaning of *the* *National Vocational Education and Training Regulator Act 2011*, being a diploma that: (i)  satisfies the AQF criteria for AQF level 6 or higher; and (ii)  includes 8 units of study that are specific to financial planning or financial advice  |
| (2) | the Advanced Diploma of Financial Services (Financial Planning) |

#### Financial Planning Association of Australia

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| (3) | the 8 unit Diploma of Financial Planning  |

#### Financial Services Institute of Australasia (formerly Securities Institute Australia)

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| (4) | the 8 unit Diploma of Financial Advising |
| (5) | the 8 unit Diploma of Financial Markets |
| (6) | the 8 unit Certificate in Financial Markets *where the units were completed between 1 January 1982 and 31 December 1990.*  |

# Approved Professional Designations

#### Association of Financial Advisers

|  |  |  |
| --- | --- | --- |
| (1) | Chartered Life Practitioner(offered by Mentor Education) | Commenced between 2009 and 2013 |
| (2) | Fellow Chartered Financial Practitioner(offered by Mentor Education) | Commenced between 2009 and 2013 |
| (3) | Completed all the following as part of the Fellow Chartered Financial Practitioner:AFA 1—Business Strategy for Financial AdvisersAFA 2—Client Experience StrategyAFA 3—Advanced Advice SolutionsAFA 4—Professional Conduct & Governance for Financial Advisers | Completed after 2013 |
| (4) | Completed all the following as part of the Chartered Life Practitioner:AFA 1—Business Strategy for Financial AdvisersAFA 2—Client Experience StrategyAFA 3—Advanced Risk SolutionsAFA 4—Professional Conduct & Governance for Financial Advisers | Completed after 2013 |

#### Certified Public Accountants of Australia

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| (5) | Certified Practicing Accountants Program  | Completed during or after 1989 |
| (6) | Certified Practicing Accountants Associate Designation Program | Completed between 1985 and 1988 |
| (7) | Completed the Certified Practising Accountants Program, including completing one of the following electives:Personal Financial Planning and SuperannuationFinancial Planning FundamentalsSuperannuation and Retirement PlanningInvestment StrategiesRisk Advice and InsuranceFinancial Risk Management | Completed during or after 1989 |

#### CFA Institute

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| (8) | Chartered Financial Analyst Program | Anytime |

#### Chartered Accountants Australia and New Zealand

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| (9) | Chartered Accountant Program | Completed during or after 1972 |

#### Financial Planning Association of Australia

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| (10) | Life Risk Specialist | Completed between 2009 and 2018 |
| (11) | Accredited Estate Planning strategists | Completed between 2010 and 2013 |
| (12) | Completed all the following as part of the 5 unit CFP Certification Program:CFP1(A)—FPA ProfessionalismCFP2(A)—Applied Strategies 1CFP3(A)—Applied Strategies 2CFP4(A)—Investment StrategiesCFPC—Certification | Anytime |
| (13) | Completed all the following as part of the CFP Certification 1-4 Program:CFP 1—Professionalism, Ethics & ComplianceCFP 2—Comprehensive Financial Planning 1CFP 3—Comprehensive Financial Planning 2CFP 4—Practice Management | Anytime |

#### Portfolio Constructions Forum

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| (14) | Certified Investment Management Analyst Program | Completed during or after 2001 |

#### Stockbrokers and Financial Advisers Association

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| (15) | SAFAA Professional Diploma in Stockbroking | Anytime |

#### SMSF Association

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| (16) | SMSF Specialist Advisor Program | Completed during or after 2005 |