



Approved Advanced Diplomas of Financial Planning and Professional Designations

As of 25 July 2023

See the Treasury's Financial Adviser Standards website at <https://fas.treasury.gov.au/> for more information.

This document summarises the list of approved Advanced Diplomas of Financial Planning and Professional Designations are defined in Section 5 - 'Definitions' of the *Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2021*.

These qualifications can reduce the additional study required for existing advisers, including during the foreign qualification assessment process.

Approved ADFPs

No specified professional body

- (1) A diploma awarded by an NVR registered training organisation within the meaning of *the National Vocational Education and Training Regulator Act 2011*, being a diploma that:
 - (i) satisfies the AQF criteria for AQF level 6 or higher; and
 - (ii) includes 8 units of study that are specific to financial planning or financial advice

- (2) the Advanced Diploma of Financial Services (Financial Planning)

Financial Planning Association of Australia

- (3) the 8 unit Diploma of Financial Planning

Financial Services Institute of Australasia (formerly Securities Institute Australia)

- (4) the 8 unit Diploma of Financial Advising

- (5) the 8 unit Diploma of Financial Markets

- (6) the 8 unit Certificate in Financial Markets
where the units were completed between 1 January 1982 and 31 December 1990.

Approved Professional Designations

Association of Financial Advisers

(1)	Chartered Life Practitioner (offered by Mentor Education)	Commenced between 2009 and 2013
(2)	Fellow Chartered Financial Practitioner (offered by Mentor Education)	Commenced between 2009 and 2013
(3)	Completed all the following as part of the Fellow Chartered Financial Practitioner: AFA 1—Business Strategy for Financial Advisers AFA 2—Client Experience Strategy AFA 3—Advanced Advice Solutions AFA 4—Professional Conduct & Governance for Financial Advisers	Completed after 2013
(4)	Completed all the following as part of the Chartered Life Practitioner: AFA 1—Business Strategy for Financial Advisers AFA 2—Client Experience Strategy AFA 3—Advanced Risk Solutions AFA 4—Professional Conduct & Governance for Financial Advisers	Completed after 2013

Certified Public Accountants of Australia

(5)	Certified Practicing Accountants Program	Completed during or after 1989
(6)	Certified Practicing Accountants Associate Designation Program	Completed between 1985 and 1988
(7)	Completed the Certified Practising Accountants Program, including completing one of the following electives: Personal Financial Planning and Superannuation Financial Planning Fundamentals Superannuation and Retirement Planning Investment Strategies Risk Advice and Insurance Financial Risk Management	Completed during or after 1989

CFA Institute

(8)	Chartered Financial Analyst Program	Anytime
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Chartered Accountants Australia and New Zealand

(9)	Chartered Accountant Program	Completed during or after 1972
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Financial Planning Association of Australia

(10) Life Risk Specialist	Completed between 2009 and 2018
(11) Accredited Estate Planning strategists	Completed between 2010 and 2013
(12) Completed all the following as part of the 5 unit CFP Certification Program: CFP1(A)—FPA Professionalism CFP2(A)—Applied Strategies 1 CFP3(A)—Applied Strategies 2 CFP4(A)—Investment Strategies CFPC—Certification	Anytime
(13) Completed all the following as part of the CFP Certification 1-4 Program: CFP 1—Professionalism, Ethics & Compliance CFP 2—Comprehensive Financial Planning 1 CFP 3—Comprehensive Financial Planning 2 CFP 4—Practice Management	Anytime

Portfolio Constructions Forum

(14) Certified Investment Management Analyst Program	Completed during or after 2001
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Stockbrokers and Financial Advisers Association

(15) SAFAA Professional Diploma in Stockbroking	Anytime
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SMSF Association

(16) SMSF Specialist Advisor Program	Completed during or after 2005
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